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PTO/SB/21 (07-06)

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/534,312-Conf. #4877
	Filing Date	May 9, 2005
	First Named Inventor	Peter MALCOLM
	Art Unit	N/A
	Examiner Name	Not Yet Assigned
	Attorney Docket Number	0112634.00122US1
Total Number of Pages in This Submission		3

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): References Cited (10) Return Receipt Postcard
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	WILMER CUTLER PICKERING HALE AND DORR LLP		
Signature			
Printed name	Michael A. Diener		
Date	October 5, 2006	Reg. No.	37,122

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Dated: October 5, 2006

Signature: 

Jody Begley

Docket No.: 0112634.00122US1
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter MALCOLM Confirmation No.: 4877
Application No.: 10/534,312 Art Unit: N/A
Filed: May 9, 2005 Examiner: Not Yet Assigned
Title: DATA STORAGE SYSTEM

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT (IDS)

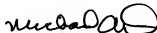
Dear Sir:

This Information Disclosure Statement is being filed prior to the mailing date of a first Office Action on the merits. No fee is required.

Applicants request that the Examiner initial and return a copy of the enclosed Form PTO SB-08 with the next communication.

Respectfully submitted,

Dated: October 5, 2006



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PTO/SB/08a/b (07-06)
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Substituta for form 1449A/B/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/534,312-Conf. #4877
		Filing Date	May 9, 2005
		First Named Inventor	Peter MALCOLM
		Art Unit	N/A
		Examiner Name	Not Yet Assigned
Sheet	1	of	1
		Attorney Docket Number	0112634.00122US1

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA*	US-4,310,883	01-12-1982	Clifton et al.	
	AB*	US-6,378,054	04-23-2002	Karassudani et al.	
	AC*	US-20020147734-A1	10-10-2002	Shoup et al.	
	AD*	US-20030050729-A1	10-21-2003	Basham et al.	wrong publication date
	AE*	US-20030079084-A1	04-27-2004	Gotoh et al.	wrong publication date

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	†*
		Country Code*-Number*Kind Code* (if known)				
	BA	EP-0312865	04-26-1989	International Business Machines Corp.		
	BB	EP-0351109	01-17-1990	Amdahl Corporation		
	BC	WO-94/18634	08-18-1994	Large Storage Configurations, Inc.		
	BD	EP-1168176	01-02-2002	Hewlett-Packard Company		
	BE	WO-02/101535	12-19-2002	Storage Technology Corporation		
	BF	JP-2003-006354	01-10-2003	Cannon		
	BG	WO-03/027891	04-03-2003	Commvault Systems Inc.		

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NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²	
	CA	Sharick, P. "Expiration Dates and Retention Periods", Vax Professional, June (1988)		
	CB	Harding et al., "Object Storage Hierarchy Management", IBM Systems Journal, Vol. 29, pp. 384-397 (1990)		
	CC	Collins et al., "Los Alamos HPDS: High Speed Data Transfer", IEEE, pp. 111-118 (1993)		

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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